

# Connectivity of the space of spherical designs

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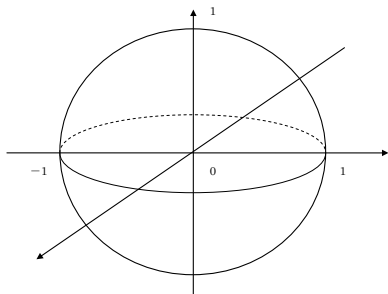
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- $d \geq 2$
- $S^{d-1} = \{(x_1, \dots, x_d) \in \mathbb{R}^d \mid x_1^2 + \dots + x_d^2 = 1\}$  (unit sphere),
- $B^d = \{(x_1, \dots, x_d) \in \mathbb{R}^d \mid x_1^2 + \dots + x_d^2 \leq 1\}$  (unit ball).

Example:

$$S^2 = \{(x_1, x_2, x_3) \in \mathbf{R}^3 \mid x_1^2 + x_2^2 + x_3^2 = 1\},$$

$$B^3 = \{(x_1, x_2, x_3) \in \mathbf{R}^3 \mid x_1^2 + x_2^2 + x_3^2 \leq 1\}.$$



## Definition (Delsarte-Goethals-Seidel, 1977)

$X \subset S^{d-1}$ ,  $X$ : a non-empty finite set,

$X$ : a spherical  $t$ -design

$$\iff \frac{1}{|S^{d-1}|} \int_{S^{d-1}} f(x) dw(x) = \frac{1}{|X|} \sum_{x \in X} f(x)$$

for  $\forall f(x)$  with  $\deg f \leq t$ .

$t = 1 \implies$  the center of gravity of  $X =$  the origin.

Seymour-Zaslavsky in 1984

→  $\exists$  a spherical  $t$ -design  $\subset S^{d-1}$  for  $\forall d, t$ .

### Theorem (Hong, 1982)

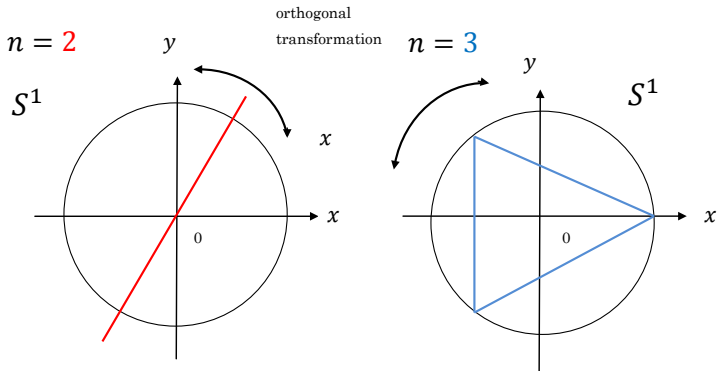
$X \subset S^1, n = |X|$ .

$X$ : a spherical  $t$ -design  $\implies$

- (1)  $t + 1 \leq n \leq 2t + 1 \implies X$ : a regular  $n$ -gon,
- (2)  $n = 2t + 2 \implies X$ : the union of two regular  $(t + 1)$ -gons,
- (3)  $\forall n \geq 2t + 3 \implies \exists (\aleph_1)X$ 's which cannot be decomposed into the union of degree  $k_i$ -gons where  $k_i \geq (t + 1)$ .

(1)  $t + 1 \leq n \leq 2t + 1 \rightarrow$  regular  $n$  - gon

$t = 1$  in Hong's theorem  $\Rightarrow n = 2, 3$



(2)  $n = 2t + 2 \rightarrow$  union of regular  $(t + 1) -$  gons

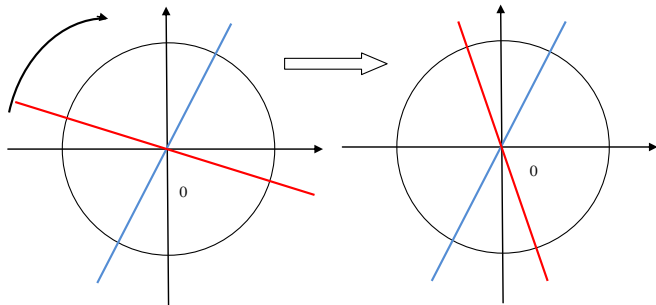
$t = 1$  in Hong's theorem  $\Rightarrow n = 4$

**red line**  
only moves

**blue line**  
fixed

**blue line**  
fixed

$S^1$



## Definition (Bannai)

$X = \{x_1, \dots, x_n\} \subset S^{d-1}$ : a spherical  $t$ -design.

$X$ : **non-rigid**  $\iff$

$\forall \epsilon > 0, \exists$  a spherical  $t$ -design  $X' = \{x'_1, \dots, x'_n\} \subset S^{d-1}$  s.t.

(1)  $\|x_i - x'_i\| < \epsilon$  for  $\forall i \in \{1, \dots, n\}$ ,

(2)  $\nexists T \in O(d, \mathbb{R})$  s.t.  $Tx_i = x'_i$  for  $\forall i \in \{1, \dots, n\}$ .

$X$ : **rigid** if  $X$  is not **non-rigid**.

**Conj. A:** For  $\forall t$  and  $d$ ,  
if  $|X|$  is sufficiently large  
(i.e., greater than a certain number  $f(t, d)$ ),  
then  $X$  is **non-rigid**.

**Conj. B:** For each fixed pair of  $t$  and  $d$ ,  
 $\exists$  only finitely many **rigid** spherical  $t$ -designs  
up to orthogonal transformations.

Conj. A  $\iff$  Conj. B.

Conj. B  $\implies$  Conj. A: trivial,

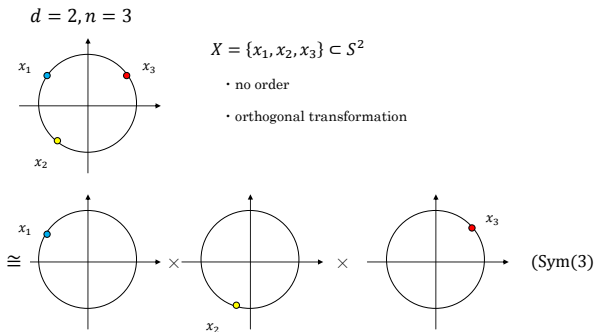
Conj. A  $\implies$  Conj. B: by Lyubich and Vaserstein in 1993.

### Theorem (Bannai, 1987)

*If  $X$  is a **rigid** spherical  $t$ -design in  $S^1$ , then  $X$  consists of  $k + 1$  vertices of a regular  $(k + 1)$ -gon with  $t \leq k \leq 2t$ .*



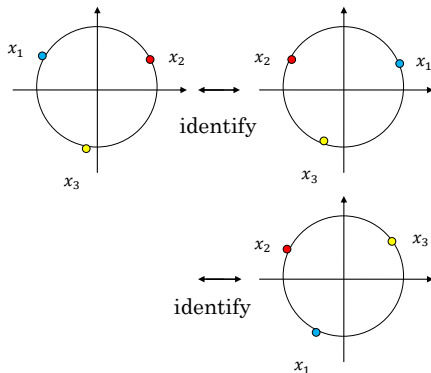
$X = \{\mathbf{x}_1, \dots, \mathbf{x}_n\} \subset S^{d-1}$ : a spherical  $t$ -design



Fix an ordering of  $\mathbf{x}_1, \dots, \mathbf{x}_n$ ,

$X = (\mathbf{x}_1, \dots, \mathbf{x}_n) \in (S^{d-1})^n$ .

topological space



$\{(\mathbf{x}_1, \dots, \mathbf{x}_n) \mid \mathbf{x}_1, \dots, \mathbf{x}_n : \text{distinct}\} / (\text{Sym}(n) \times O(d, \mathbb{R}))$   
 $\longleftrightarrow$  permutation of coordinates, rotation.

$$D_d(n, t) = \{(\mathbf{x}_1, \dots, \mathbf{x}_n) \in (\mathcal{S}^{d-1})^n \mid (\mathbf{x}_1, \dots, \mathbf{x}_n) : \text{a spherical } t\text{-design}\}.$$

the set of spherical  $t$ -design with  $n$  (possibly repeated) points in  $\mathcal{S}^{d-1}$ .

$D_d(n, t) = \{(\mathbf{x}_1, \dots, \mathbf{x}_n) \in (S^{d-1})^n \mid (\mathbf{x}_1, \dots, \mathbf{x}_n) : \text{a spherical } t\text{-design}\},$

$\overline{D}_d(n, t) = D_d(n, t)/\text{Sym}(n).$

We denote  $[\mathbf{x}_1, \dots, \mathbf{x}_n] \in \overline{D}_d(n, t).$

For  $T \in O(d, \mathbb{R}),$

$$T[\mathbf{x}_1, \dots, \mathbf{x}_n] = [T\mathbf{x}_1, \dots, T\mathbf{x}_n],$$

$$[[\mathbf{x}_1, \dots, \mathbf{x}_n]] = \{T[\mathbf{x}_1, \dots, \mathbf{x}_n] \mid T \in O(d, \mathbb{R})\},$$

$$\mathcal{D}_d(n, t) = \{[[\mathbf{x}_1, \dots, \mathbf{x}_n]] \mid [\mathbf{x}_1, \dots, \mathbf{x}_n] \in \overline{D}_d(n, t)\}.$$

## Lemma

$X \in \mathcal{D}_d(n, t)$ : *rigid*  $\iff$   $[[X]]$  is an *isolated point* in  $\mathcal{D}_d(n, t)$ .

In what follows, we only consider the case of  $t = 1$ , i.e., spherical 1-design  $\in (S^{d-1})^n$ .

$$d \geq 2.$$

$$\mathbf{a} \in B^d, \mathbf{u} \in S^{d-1}.$$

$$D_d(n, t) = \{(\mathbf{x}_1, \dots, \mathbf{x}_n) \in (S^{d-1})^n \mid (\mathbf{x}_1, \dots, \mathbf{x}_n) : \text{a spherical } t\text{-design}\}.$$

$$\Omega_d(n, \mathbf{a}, \mathbf{u}) := \{(\mathbf{x}_1, \dots, \mathbf{x}_n) \in (S^{d-1})^n \mid \frac{1}{n} \sum_{i=1}^n \mathbf{x}_i = \mathbf{a}, \mathbf{x}_i = \mathbf{u} \text{ for some } i\}.$$

$$\begin{aligned} \Omega_d(n, \mathbf{a}) &:= \{(\mathbf{x}_1, \dots, \mathbf{x}_n) \in (S^{d-1})^n \mid \frac{1}{n} \sum_{i=1}^n \mathbf{x}_i = \mathbf{a}\} \\ &= \bigcup_{\mathbf{u} \in S^{d-1}} \Omega_d(n, \mathbf{a}, \mathbf{u}). \end{aligned}$$

$$\Omega_d(n, \mathbf{o}) = D_d(n, 1).$$

$\Omega_d(n, \mathbf{a})$ : a metric induced from the Euclidean metric in  $\mathbb{R}^{dn}$

$\longrightarrow \Omega_d(n, \mathbf{a})$ : a topological space.

$$\bar{\Omega}_d(n, \mathbf{a}) = \Omega_d(n, \mathbf{a}) / \text{Sym}(n).$$

## Lemma

The topological space  $\bar{\Omega}_d(n, \mathbf{a}) \neq \emptyset \iff n \geq 2$  or  $\mathbf{a} \in S^{d-1}$ .

$\bar{\Omega}_d(n, \mathbf{a}) \neq \emptyset \implies \bar{\Omega}_d(n, \mathbf{a})$ : *connected*.

We recall

$D_d(n, t) = \{(\mathbf{x}_1, \dots, \mathbf{x}_n) \in (S^{d-1})^n \mid (\mathbf{x}_1, \dots, \mathbf{x}_n) : \text{a spherical } t\text{-design}\}$ ,

$\bar{D}_d(n, t) = D_d(n, t) / \text{Sym}(n)$ .

$\implies \bar{\Omega}_d(n, \mathbf{o}) = \bar{D}_d(n, 1)$ .

## Theorem (Y. Inui, A. Munemasa and T.I.)

The topological space  $\mathcal{D}_d(n, 1)$  is *connected* if  $\mathcal{D}_d(n, 1) \neq \emptyset$ .

$$n \geq 4,$$

$$n = (n - 2) + 2.$$

$\exists$  a spherical 1-design  $X = (\mathbf{x}_1, \dots, \mathbf{x}_{n-2}) \in D_d(n - 2, 1)$  of  $n - 2$  points in  $S^{d-1}$ . Let  $\mathbf{e}_1, \mathbf{e}_2 \in S^{d-1}$  be orthogonal, and set

$$\mathbf{y}_\theta = \cos \theta \mathbf{e}_1 + \sin \theta \mathbf{e}_2,$$

$$Y_\theta = (\mathbf{x}_1, \dots, \mathbf{x}_{n-2}, \mathbf{y}_\theta, -\mathbf{y}_\theta).$$

Then  $Y_\theta \in D_d(n, 1)$ , and

$$|\{[Y_\theta] \mid 0 \leq \theta \leq \pi\}| > 1.$$

$$\longrightarrow |\mathcal{D}_d(n, 1)| > 1.$$

$\mathcal{D}_d(n, 1)$ : connected  $\longrightarrow \mathcal{D}_d(n, 1)$  has no isolated points.

Therefore,  $\nexists$  rigid spherical 1-designs of  $n$  points.



## Corollary (Y. Inui, A. Munemasa and T.I.)

Let  $X \in D_d(n, 1)$  be a spherical 1-design. Then the following are equivalent.

- 1  $X$  is a *rigid* spherical 1-design,
- 2  $n = 2$  or  $3$ , and  $X$  consists of a pair of antipodal points, or  $X$  consists of the vertices of an equilateral triangle.